



Intro to Quantum Computation and Quantum Cryptanalysis

September, 2016

Alan Szepieniec

KU Leuven, ESAT/COSIC

Postulates of Quantum Computation

1. A quantum system is fully defined by its *state* $|\psi\rangle \in \mathcal{H}$ where $\mathcal{H} \subset \mathbb{C}^{2^k}$ is the Hilbert space of unit-length vectors, *i.e.*,
 $\| |\psi\rangle \|_2^2 = |\psi\rangle^{*\top} |\psi\rangle = \langle \psi | \psi \rangle = 1.$
2. Any valid computation is a unitary transformation
 $T : \mathcal{H} \rightarrow \mathcal{H} : |\psi\rangle \mapsto T|\psi\rangle$ of the state and can be described by a unitary matrix $T \in \mathbb{C}^{2^k \times 2^k}$ such that $T^{*\top} T = I.$
3. The composition of two quantum states $|\psi\rangle \in \mathcal{H}_1 \subset \mathbb{C}^{2^k}$ and $|\phi\rangle \in \mathcal{H}_2 \subset \mathbb{C}^{2^\ell}$ is described by their *tensor product*:
 $|\psi\phi\rangle = |\psi\rangle \otimes |\phi\rangle \in \mathcal{H}_1 \otimes \mathcal{H}_2 \subset \mathbb{C}^{2^{k+\ell}}.$

Tensor Product

Inner product:

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix}^T \begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = a_1 b_1 + a_2 b_2$$

Outer product:

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \begin{pmatrix} b_1 \\ b_2 \end{pmatrix}^T = \begin{pmatrix} a_1 b_1 & a_1 b_2 \\ a_2 b_1 & a_2 b_2 \end{pmatrix}$$

Tensor product:

$$\begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \otimes \begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} a_1 b_1 \\ a_1 b_2 \\ a_2 b_1 \\ a_2 b_2 \end{pmatrix}$$

Postulates of Quantum Computation

1. A quantum system is fully defined by its *state* $|\psi\rangle \in \mathcal{H}$ where $\mathcal{H} \subset \mathbb{C}^{2^k}$ is the Hilbert space of unit-length vectors, *i.e.*,
$$\| |\psi\rangle \|_2^2 = |\psi\rangle^{*\top} |\psi\rangle = \langle \psi | \psi \rangle = 1.$$
2. Any valid computation is a unitary transformation $T : \mathcal{H} \rightarrow \mathcal{H} : |\psi\rangle \mapsto T|\psi\rangle$ of the state and can be described by a unitary matrix $T \in \mathbb{C}^{2^k \times 2^k}$ such that $T^{*\top} T = I$.
3. The composition of two quantum states $|\psi\rangle \in \mathcal{H}_1 \subset \mathbb{C}^{2^k}$ and $|\phi\rangle \in \mathcal{H}_2 \subset \mathbb{C}^{2^\ell}$ is described by their *tensor product*:
$$|\psi\phi\rangle = |\psi\rangle \otimes |\phi\rangle \in \mathcal{H}_1 \otimes \mathcal{H}_2 \subset \mathbb{C}^{2^{k+\ell}}.$$
4. Measurement M happens with respect to an orthogonal basis $|b_1\rangle, |b_2\rangle, \dots, |b_k\rangle \in \mathcal{H}$ and fixes the state to one basis vector $M|\psi\rangle = |b_i\rangle$ with probability $\langle \psi | b_i \rangle \langle b_i | \psi \rangle$.

Quantum Computation Example

(4.) Use the basis $|0\rangle, |1\rangle$ for \mathcal{H} .

(1.) A qubit $|\psi\rangle \in \mathcal{H}$ is described by a vector $(\alpha, \beta) \in \mathbb{C}^2$ such that $|\psi\rangle = \alpha|0\rangle + \beta|1\rangle$.

(4.) Measuring yields $|0\rangle$ with probability $\alpha^*\alpha$ and $|1\rangle$ with $\beta^*\beta$.

▷ Let $|\psi\rangle, |\phi\rangle \in \mathcal{H}$ be two qubits set to zero, i.e., $|\psi\rangle = |\phi\rangle = |0\rangle$.

(3.) The composite system is described by

$|\psi\phi\rangle = |\psi\rangle \otimes |\phi\rangle = \alpha|00\rangle + \beta|01\rangle + \gamma|10\rangle + \delta|11\rangle$ with e.g. $\alpha = 1$ and $\beta = \gamma = \delta = 0$.

(2.) Apply the unitary transformation

$$T = \begin{pmatrix} \frac{1}{2}\sqrt{2} & 0 & 0 & -\frac{1}{2}\sqrt{2} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ \frac{1}{2}\sqrt{2} & 0 & 0 & \frac{1}{2}\sqrt{2} \end{pmatrix} \text{ to } |\psi\phi\rangle \cong \begin{pmatrix} \alpha \\ \beta \\ \gamma \\ \delta \end{pmatrix}.$$

▷ Result: $T|\psi\phi\rangle = \frac{1}{2}\sqrt{2}|00\rangle + 0|01\rangle + 0|10\rangle + \frac{1}{2}\sqrt{2}|11\rangle$.

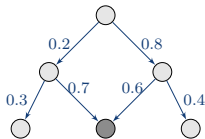
(4.) Measuring yields $|00\rangle$ with probability $\frac{1}{2}$ and $|11\rangle$ with $\frac{1}{2}$.

Modes of Computation



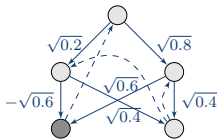
$$P = 1$$

deterministic



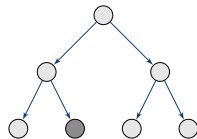
$$P = 0.2 \times 0.7 + 0.8 \times 0.6 = 0.62$$

probabilistic



$$P = \left(-\sqrt{0.2}\sqrt{0.6} + \sqrt{0.8}\sqrt{0.6} \right)^2 \approx 0.35^2 \approx 0.12$$

quantum



$$P = 1$$

nondeterministic

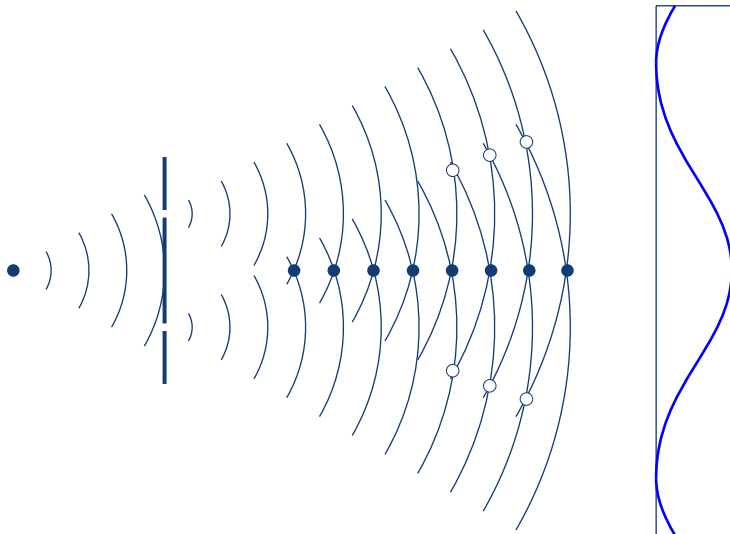
Postulates of Probabilistic Computation

1. A ~~quantum~~ probabilistic system is fully defined by its *state* (or *probability distribution*) $\psi \in [0; 1]^{2^k}$ having ~~ℓ_2~~ ℓ_1 -norm equal to 1
2. Any valid computation is a transformation $T : \psi \mapsto T\psi$ of the state and can be described by a ~~unitary~~ stochastic matrix $T \in [0; 1]^{2^k \times 2^k}$ such that all rows and columns sum to 1.
3. The composition of two states $\psi \in [0; 1]^{2^k}$ and $\phi \in [0; 1]^{2^\ell}$ is described by their *tensor product*: $\psi \otimes \phi \in [0; 1]^{2^{k+\ell}}$.
4. ~~Measurement~~ Sampling (denoted by M) happens with respect to an orthogonal basis $b_1, b_2, \dots, b_k \in [0; 1]^{2^k}$ and fixes the state to one basis vector $M\psi = b_i$ with probability ~~$\langle \psi | b_i \rangle \langle b_i | \psi \rangle$~~ $b_i^\top \psi$.

Quantum Computation in 2 Easy Steps

0. Start with probabilistic computation.
1. Compute with *probability distributions* as opposed to *samples*.
 - sample afterwards
2. Use *continuous* transitions opposed to *discrete* ones.
 - the n th root of a computation is always well defined
 - \longrightarrow complex numbers, ℓ_2 norm
 - fixedness of quantum circuits is a minor detail
 - use *unitary local* transformations

Interference



Shor's Algorithm

factorize $n = pq$

⇔ obtain order $\varphi(n)$ of $\mathbb{Z}/n\mathbb{Z}$, ×

▷ $|a\rangle, |b\rangle$ are both quantum registers of $k > |n|$ qubits

1. Set $|a\rangle = |b\rangle = |0^k\rangle$.

2. Apply $H = \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$

to each qubit of $|a\rangle$.

3. Apply $f : |a, b\rangle \mapsto |a, b \oplus x^a \bmod n\rangle$.

4. Measure *only* $|b\rangle$.

5. Apply $g : |a\rangle \mapsto |\text{QFT}(a)\rangle$

6. Measure $|a\rangle$

7. Repeat.

result: $|a, b\rangle = |0, 0\rangle$

result: $|a, b\rangle = \frac{1}{\sqrt{2^k}} \sum_{i=0}^{2^k-1} |i, 0\rangle$

result: $|a, b\rangle = \cdot \sum_{i=0}^{2^k-1} |i, x^i\rangle$

result: $|b\rangle = |x^y\rangle$

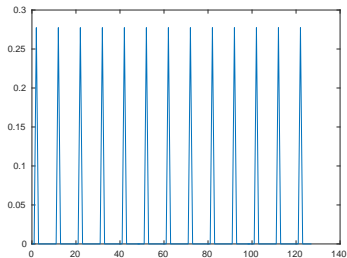
result: $|a\rangle = \cdot \sum_{i \in (y + \varphi(n)\mathbb{Z})} |i\rangle$

result: $|a\rangle = \sum_j \cdot \left| j \frac{2^k}{\varphi(n)} \right\rangle$

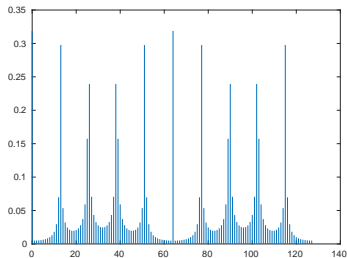
result: $|a\rangle = \left| \left\lfloor j \frac{2^k}{\varphi(n)} \right\rfloor \right\rangle$

DFT Example

- factorize $n = 35$
- $b = 6 \rightarrow$ order $r = 10$



$|a\rangle$



$|\text{QFT}(a)\rangle$

Discrete Logarithm

- discrete logarithm: given $g, g^x \in \mathbb{G}$, find $x \in \mathbb{Z}$
 - define function $f : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{G} : (y, z) \mapsto (g^x)^{-y} g^z$
 - $\forall (y, z) \in \mathbb{Z} \times \mathbb{Z}. f(y, z) = f(y + 1, z + x)$
 - f has period $p = (1, x)$
 - algorithm:
 1. operate on 3 registers of N qubits, initially $|a, b, c\rangle = |0, 0, 1\rangle$
 2. set to uniform superposition $|a\rangle = |b\rangle = \frac{1}{\sqrt{N}} \sum_i |i\rangle$
 3. compute f : apply $|a, b, c\rangle \mapsto |a, b, c \times f(a, b)\rangle$
 4. apply Fourier transform: apply $|a\rangle \mapsto |\text{QFT}(a)\rangle$ and $|b\rangle \mapsto |\text{QFT}(b)\rangle$
 5. measure the state; w.h.p. $b/a \approx x$
-
- period in $\mathbb{Z} \longrightarrow \text{QFT}$
 - period in $\mathbb{Z} \times \mathbb{Z} \longrightarrow \text{QFT} \times \text{QFT}$
 - generalizations possible?

Simon's Algorithm

- problem: given a function $f : \{0, 1\}^k \rightarrow \{0, 1\}^\ell$ with an unknown period $p \in \{0, 1\}^k$ s.t. $\forall x. f(x) = f(x \oplus p)$, find p

1. init 2 registers $|a, b\rangle = |0^k 0^\ell\rangle$

2. apply $H = \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$
to each qubit of $|a\rangle$

3. apply $|a, b\rangle \mapsto |a, b \oplus f(a)\rangle$

~~4. measure only $|b\rangle$~~

5. apply $H = \begin{pmatrix} 1/\sqrt{2} & 1/\sqrt{2} \\ -1/\sqrt{2} & 1/\sqrt{2} \end{pmatrix}$
to each qubit of $|a\rangle$
→ bitflips cause interference

6. measure $|a\rangle$

7. repeat — obtain many samples

$$\text{result: } |a\rangle = \frac{1}{2^{k/2}} \sum_{i \in \{0,1\}^k} |i\rangle$$

$$\text{result: } |a, b\rangle = \frac{1}{2^{k/2}} \sum_{x \in \{0,1\}^k} |x, f(x)\rangle$$

$$\text{result: } |a, b\rangle = \frac{1}{\sqrt{2}} |\hat{x}, b\rangle + \frac{1}{\sqrt{2}} |\hat{x} \oplus p, b\rangle$$

where \hat{x} s.t. $f(\hat{x}) = b$

$$\text{recall: } H|0\rangle = \frac{1}{\sqrt{2}} (|0\rangle + |1\rangle)$$

$$\text{and } H|1\rangle = \frac{1}{\sqrt{2}} (|0\rangle - |1\rangle)$$

$$\text{result: } |a, b\rangle = \frac{1}{2^{k/2}} \sum_{y \in \{0,1\}^k} |y \wedge \bar{p}, b\rangle$$

$$\text{result: random } y \in \{0, 1\}^k \text{ s.t. } y \wedge p = 0$$

Simon's Algorithm IS Shor's Algorithm

Shor: factorize

1. uniform $|a\rangle$
2. apply $|b\rangle \mapsto |b + f(a)\rangle$
with $f(a) = x^a \bmod n$
3. measure $|b\rangle$
4. QFT- $2^{|n|}$ on $|a\rangle$
5. measure $|a\rangle$
6. repeat
(if necessary)

Shor: dlog

1. uniform $|a\rangle, |b\rangle$
2. apply $|c\rangle \mapsto |c + f(a, b)\rangle$
with $f(a, b) = (g^x)^{-a} g^b$
3. measure $|c\rangle$
4. QFT- $2^{|p|} \times$ QFT- $2^{|p|}$
on $|a, b\rangle$
5. measure $|a\rangle, |b\rangle$
6. repeat
(if necessary)

Simon

1. uniform $|a\rangle$
2. apply $|b\rangle \mapsto |b \oplus f(a)\rangle$
3. measure $|b\rangle$
4. QFT-2 $\times \dots \times$ QFT-2
on $|a\rangle$
5. measure $|a\rangle$
6. repeat

Hidden Subgroup Problem

Definition

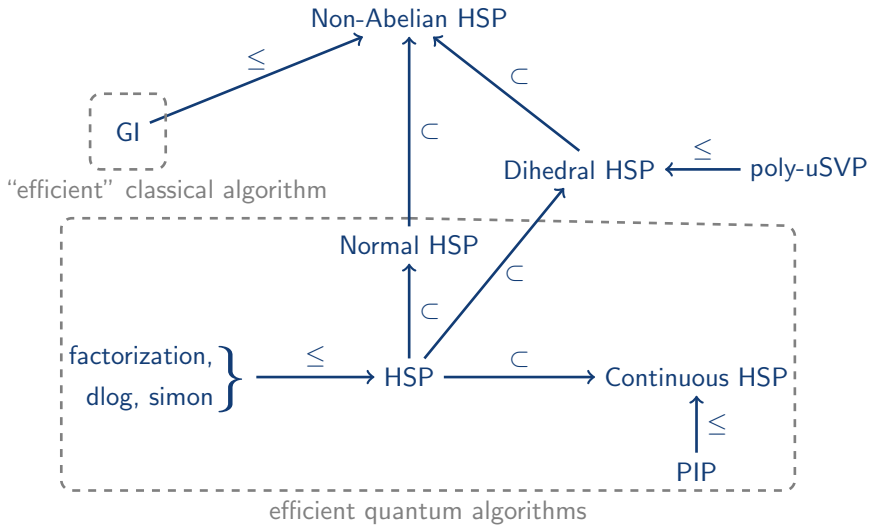
Let $G, +$ be a group with subgroup H . Let $f : G \rightarrow \{0, 1\}^*$ be a function that produces the same image iff its inputs are from the same coset of H , i.e.,

$$\forall g_1, g_2 \in G. g_1 - g_2 \in H \Leftrightarrow f(g_1) = f(g_2) .$$

The *Hidden Subgroup Problem (HSP)* is to find a generating set of H given oracle access to f .

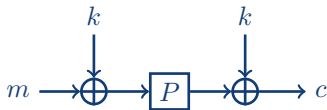
- factorization: $G = \mathbb{Z}, +$ and $H = \varphi(n)\mathbb{Z}, +$
- discrete logarithm: $G = \mathbb{Z} \times \mathbb{Z}, +$ and $H = \mathbb{Z} \begin{pmatrix} 1 \\ x \end{pmatrix}, +$
- Simon's problem: $G = \{0, 1\}^k, \oplus$ and $H = \{0, p\}, \oplus$

Environment of HSP

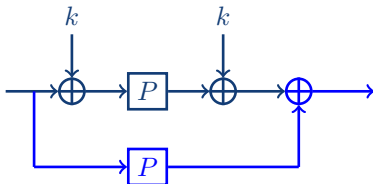


Simon vs. Even-Mansour

- Even-Mansour construction:



- given quantum access to the circuit $\mathcal{C}|m\rangle = |c\rangle$ and given P ; find k
- solution¹:



- $f(x) = P(x \oplus k) \oplus k \oplus P(x)$
- $f(x \oplus k) = P(x) \oplus k \oplus P(x \oplus k)$
- period is k !

¹H. Kuwakado, M. Morii. "Security on the Quantum-type Even-Mansour Cipher"

Simon vs. Other Constructions

secure? ²	CBC-MAC	PMAC	GMAC	GCM	OCB
classical queries	✓	✓	✓	✓	✓
quantum queries	×	×	×	×	×

secure? ³	CBC	CFB	OFB	CTR	XTS
classical queries	✓	✓	✓	✓	?
quantum queries	×/✓	×/✓	✓	✓	×

- but all attacks require *quantum access to keyed primitive*

²M. Kaplan *et al.* “Breaking Symmetric Cryptosystems using Quantum Period Finding”

³M. Vivekanand *et al.* “Post-quantum Security of the CBC, CFB, OFB, CTR, and XTS Modes of Operation”

Quantum Oracle Queries

- Enc/Dec/Sig are typically accessible over classical channels only
 - non-trivial quantum states will collapse
 - IND-qCPA vs. IND-CPA and EUF-qCMA vs. EUF-CMA
- RO should be accessible quantumly (\rightarrow QRROM)
- also any known circuit (Enc/Dec/Sig but without secret key)
- is quantum access to keyed primitive worth studying?
 - quantum encryption
 - accidental quantum computers
 - white-box cryptography
 - protocols and game-based proofs \leftarrow big deal
- key principle: *no quantum interaction with secret key material*

Grover's Algorithm

- Let $F : \{0, 1\}^k \rightarrow \{0, 1\}^k$ be OWF with 1 preimage a to $b = F(a)$
- task: given oracle access to F and given b ; find a
- best classical algorithm: exhaustive search — $O(2^k)$
- quantum oracle access: $\mathcal{F}|a\rangle \mapsto |b\rangle = |F(a)\rangle$
- best quantum algorithm: Grover — $O(2^{k/2})$

Grover's Algorithm: Elements

- \mathcal{A} — uniform sampler
 - $\mathcal{A}|0^k\rangle = \frac{1}{\sqrt{2^k}} \sum_{i=0}^{2^k-1} |i\rangle = |\Psi\rangle$
 - Apply a Hadamard gate to each qubit
- working plane
 - the vectors $|a\rangle = |\Psi_1\rangle$ and $|\Psi\rangle$ span a plane
 - let $|\Psi_0\rangle$ lie in this plane, perpendicular to $|a\rangle$
- S_0 — mirror about $|0^k\rangle$
 - $S_0|x\rangle = \begin{cases} -|x\rangle & \text{if } x = 0 \\ |x\rangle & \text{else} \end{cases}$
 - compute $|x, q\rangle \mapsto |x, q \oplus (x = 0)\rangle$ and keep $|q\rangle = 1/\sqrt{2}(|0\rangle - |1\rangle)$
 - $1/\sqrt{2}|x\rangle(|0\rangle - |1\rangle) \mapsto 1/\sqrt{2}|x\rangle(|0\rangle - |1\rangle)$ (if $x \neq 0$)
 - $1/\sqrt{2}|0\rangle(|0\rangle - |1\rangle) \mapsto 1/\sqrt{2}|0\rangle(|1\rangle - |0\rangle) = -1/\sqrt{2}|0\rangle(|0\rangle - |1\rangle)$
- S_χ — mirror about $|\Psi_0\rangle$
 - $S_\chi|x\rangle = \begin{cases} -|x\rangle & \text{if } F(x) = b \\ |x\rangle & \text{if } F(x) \neq b \end{cases}$
 - compute $|x, q\rangle \mapsto |x, q \oplus (F(x) = b)\rangle$ and keep $|q\rangle = 1/\sqrt{2}(|0\rangle - |1\rangle)$

Grover's Algorithm: Step-by-Step

Single iteration: $|\Phi\rangle \mapsto Q|\Phi\rangle = -\mathcal{A}\mathcal{S}_0\mathcal{A}^{-1}\mathcal{S}_\chi|\Phi\rangle$

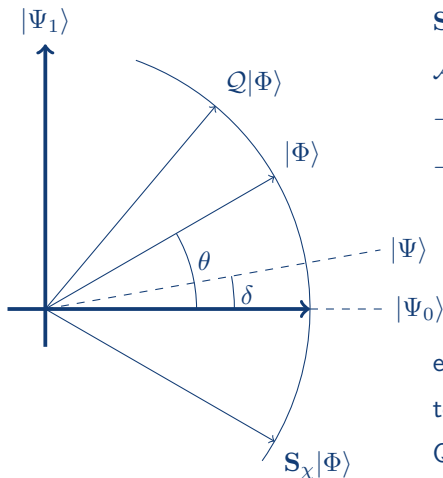
$|\Phi\rangle$ current state

$\mathcal{S}_\chi|\Phi\rangle$: flip about $|\Psi_0\rangle$

$\mathcal{A}^{-1}\mathcal{S}_\chi|\Phi\rangle$: change of basis

$-\mathcal{S}_0\mathcal{A}^{-1}\mathcal{S}_\chi|\Phi\rangle$: flip about $|\Psi\rangle$

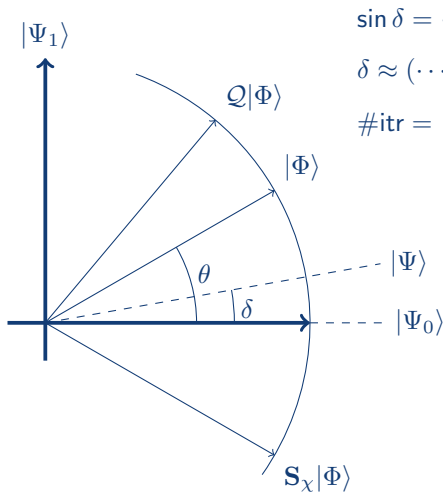
$-\mathcal{A}\mathcal{S}_0\mathcal{A}^{-1}\mathcal{S}_\chi|\Phi\rangle$: undo change of basis



every iteration moves $|\Phi\rangle$ closer to $|\Psi_1\rangle$ by an angle 2δ

Q: what are δ and (initially) θ ?

Grover's Algorithm: Complexity

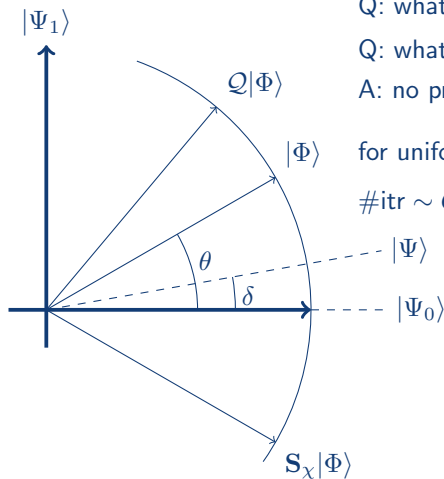


$$\sin \delta = \langle \Psi_1 | \Psi \rangle$$

$$\delta \approx (\dots 010\dots)(\dots 1/\sqrt{2^k} \dots)^T = 1/\sqrt{2^k}$$

$$\#\text{itr} = \frac{1/2 - \theta_{\text{init}}}{2\delta} = \frac{1/2 - \delta}{2\delta} \approx \frac{1}{2} 2^{k/2} - \frac{1}{2}$$

Amplitude Amplification



Q: what if $\#A = \#\{x \mid F(x) = b\} > 1$?

Q: what if \mathcal{A} is better than uniform?

A: no problem

for uniform \mathcal{A} and $\#A > 1$ holds:

$$\#\text{itr} \sim O(\sqrt{2^k/\#A})$$