

The Tip5 Hash Function for Recursive STARKs

Alan Szepieniec

艾伦·余丕涅茨

alan@neptune.cash

Neptune

Alexander Lemmens

Alexander.Lemmens@vub.be

DIMA, Vrije Universiteit Brussel

Jan Ferdinand Sauer

ferdinand@neptune.cash

Neptune

Bobbin Threadbare

bobbinth@protonmail.com

Polygon

Al-Kindi

al-kindi-0@protonmail.com

Polygon



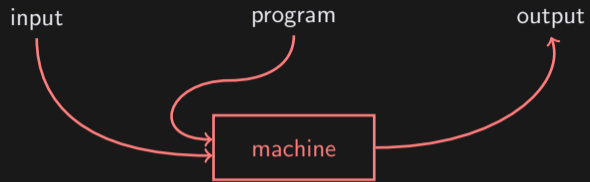
neptune



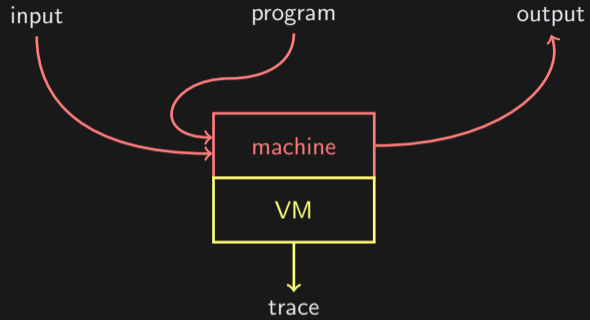
Triton VM

STARK

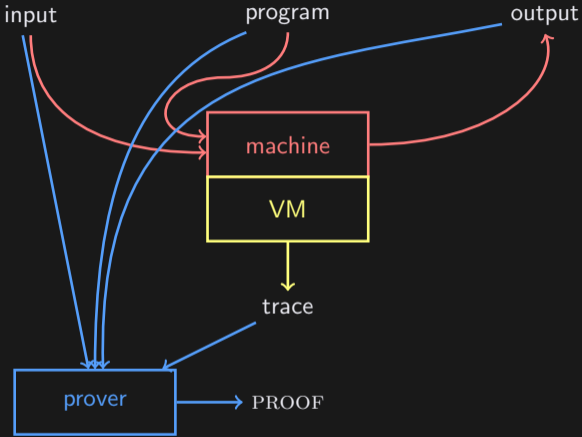
STARK



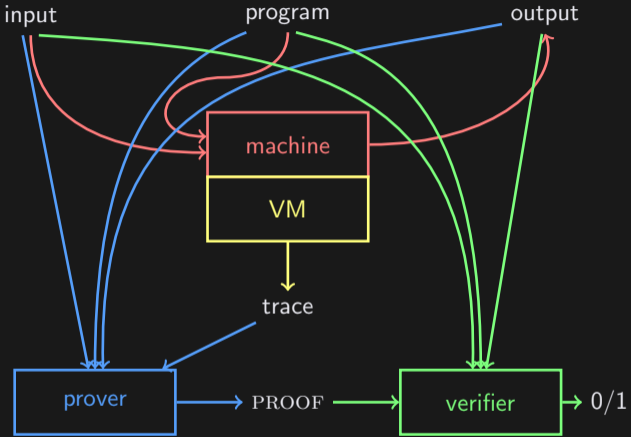
STARK



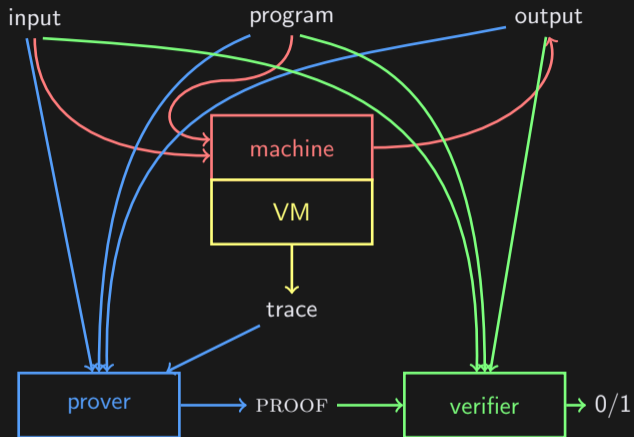
STARK



STARK



STARK



✓ fast verifier

✓ transparent

✓ zero-knowledge

✓ post-quantum

Recursive STARK

input*:
(input, program, output, PROOF)

Recursive STARK

input*:
(input, program, output, PROOF)

program*:
verifier

Recursive STARK

input*:
(input, program, output, PROOF)

program*:
verifier

output*:
0/1

Recursive STARK

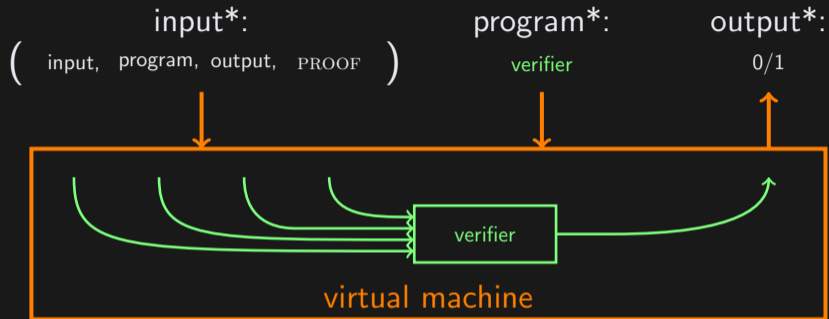
input*:
(input, program, output, PROOF)

program*:
verifier

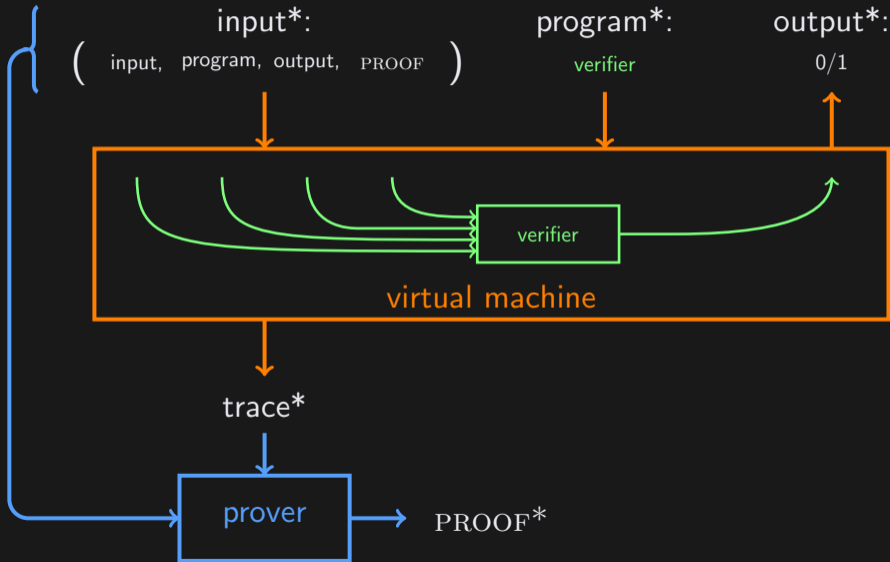
output*:
0/1



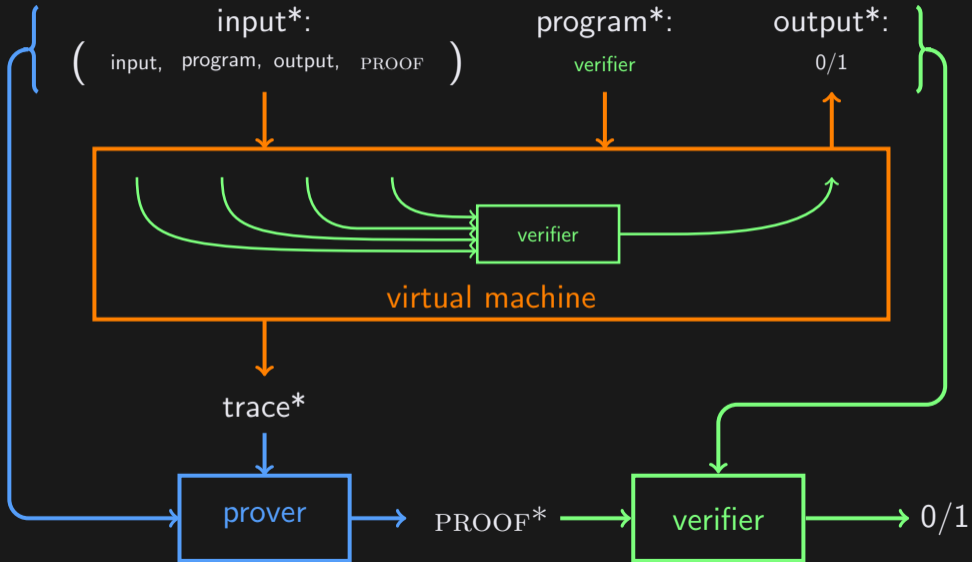
Recursive STARK



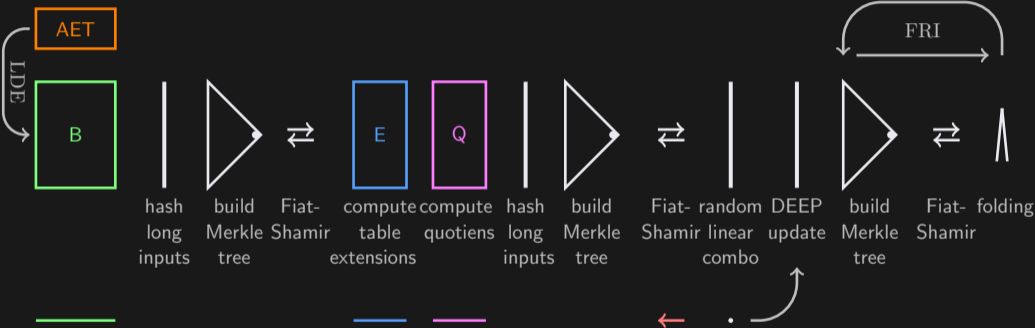
Recursive STARK



Recursive STARK



STARK Workflow



STARK Cost

Prover:

- LDE
- trace arithmetic
- hashing long inputs
- building Merkle tree
- Fiat-Shamir
- evaluate AIR
- hashing long inputs
- building Merkle tree
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- FRI

STARK Cost

Prover:

- **LDE**
- trace arithmetic
- **hashing logs inputs**
- **building Merkle tree**
- Fiat-Shamir
- evaluate AIR
- **hashing logs inputs**
- **building Merkle tree**
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- **FRI**

STARK Cost

Prover:

- **LDE**
- trace arithmetic
- **hashing logs inputs**
- **building Merkle tree**
- Fiat-Shamir
- evaluate AIR
- **hashing logs inputs**
- **building Merkle tree**
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- **FRI**

Theory predicts LDE is the bottleneck ...

... but 80% of the time is spent hashing.

STARK Cost

Prover:

- **LDE**
- trace arithmetic
- ~~hashing~~ ~~logging~~ ~~inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- evaluate AIR
- ~~hashing~~ ~~logging~~ ~~inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- **FRI**

So just use Blake3 ... right?

Theory predicts LDE is the bottleneck ...
... but 80% of the time is spent hashing.

STARK Cost

Prover:

- **LDE**
- trace arithmetic
- ~~hashing long inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- evaluate AIR
- ~~hashing long inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- **FRI**

Theory predicts LDE is the bottleneck ...
... but 80% of the time is spent hashing.

So just use Blake3 ... right?

Verifier:

- Fiat-Shamir
- evaluate AIR
- DEEP update
- Fiat-Shamir
- verify Merkle path
- FRI colinearity check
- hashing long inputs

STARK Cost

Prover:

- **LDE**
- trace arithmetic
- ~~hashing long inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- evaluate AIR
- ~~hashing long inputs~~
- ~~building Merkle tree~~
- Fiat-Shamir
- out-of-domain evaluation
- evaluate AIR
- random linear combination
- DEEP update
- **FRI**

Theory predicts LDE is the bottleneck ...
... but 80% of the time is spent hashing.

So just use Blake3 ... right?

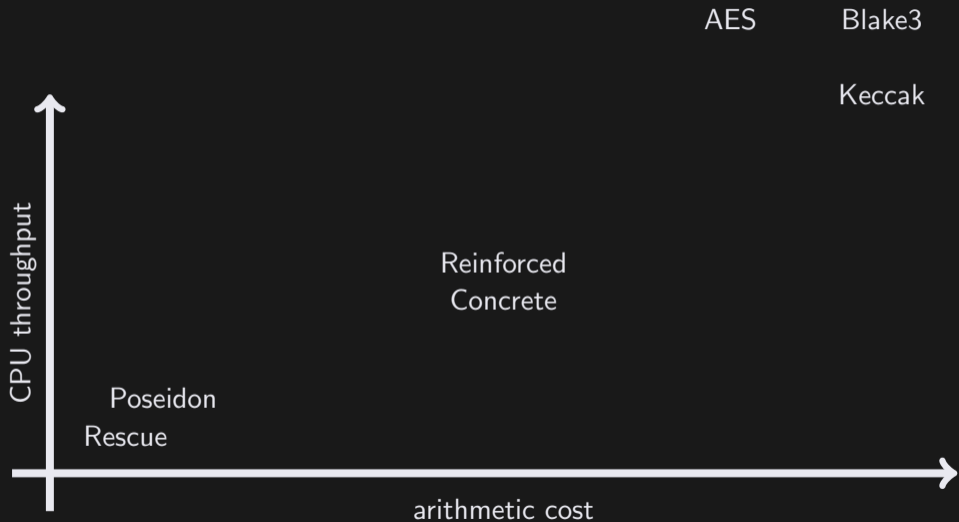
Verifier:

- Fiat-Shamir
- evaluate AIR
- DEEP update
- Fiat-Shamir
- verify Merkle path
- FRI colinearity check
- hashing long inputs

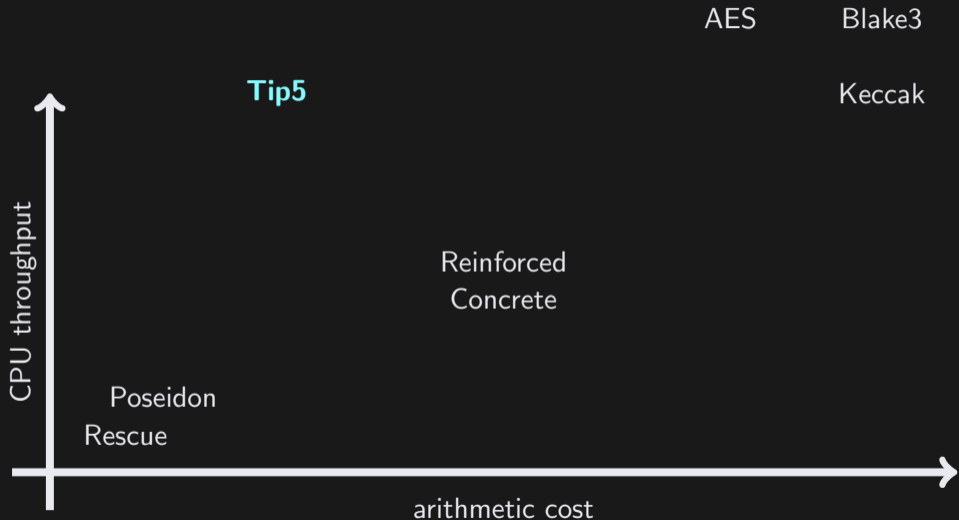
The VM must support hashing.

⇒ **we need an arithmetization-friendly hash function.**

Hash Function Orientation



Hash Function Orientation



Security of Arithmetization-Oriented Hash Functions

1. Statistical Cryptanalysis ✓✓✓
2. Algebraic Cryptanalysis ?????
 - in particular, *Gröbner basis* algorithms

Interlude: Gröbner Basis Algorithms

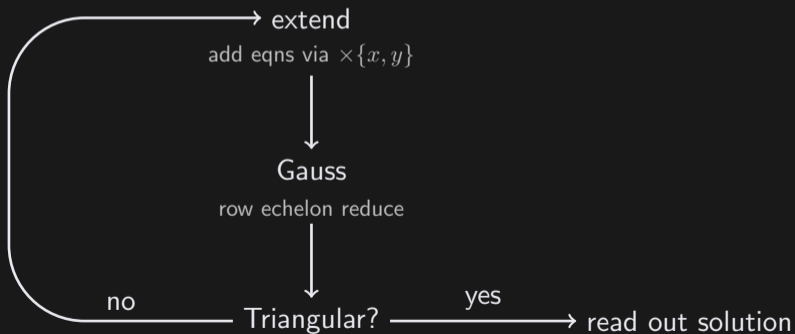
$$\left. \begin{array}{l} x^3 - xy + 2y^2 - x + 1 = 0 \\ y^3 + 2x^2 + y^2 - x - y - 1 = 0 \end{array} \right\}$$

Interlude: Gröbner Basis Algorithms

$$\left. \begin{array}{l} x^3 - xy + 2y^2 - x + 1 = 0 \\ y^3 + 2x^2 + y^2 - x - y - 1 = 0 \end{array} \right\} \longrightarrow \left(\begin{array}{cccccccccc} 1 & 0 & 0 & 0 & 0 & -1 & 2 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 2 & 0 & 1 & -1 & -1 & -1 \end{array} \right)$$

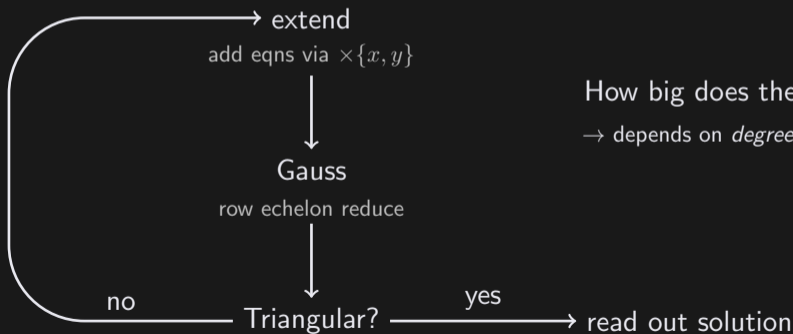
Interlude: Gröbner Basis Algorithms

$$\left. \begin{array}{l} x^3 - xy + 2y^2 - x + 1 = 0 \\ y^3 + 2x^2 + y^2 - x - y - 1 = 0 \end{array} \right\} \longrightarrow \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & -1 & 2 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 2 & 0 & 1 & -1 & -1 & -1 \end{pmatrix}$$



Interlude: Gröbner Basis Algorithms

$$\left. \begin{array}{l} x^3 - xy + 2y^2 - x + 1 = 0 \\ y^3 + 2x^2 + y^2 - x - y - 1 = 0 \end{array} \right\} \longrightarrow \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & -1 & 2 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 2 & 0 & 1 & -1 & -1 & -1 \end{pmatrix}$$



How big does the matrix get?

→ depends on *degree of regularity*

Gröbner Basis Algorithms and Arithmetization-Oriented Hash Functions

1. Hash function is arithmetization-oriented
 - ⇒ it has a compact multivariate polynomial description
 - ⇒ Gröbner basis algorithms are relevant

Gröbner Basis Algorithms and Arithmetization-Oriented Hash Functions

1. Hash function is arithmetization-oriented
 - ⇒ it has a compact multivariate polynomial description
 - ⇒ Gröbner basis algorithms are relevant
2. Poseidon
 - Designers assume worst case d_{reg}
 - *this hypothesis has been falsified*

Gröbner Basis Algorithms and Arithmetization-Oriented Hash Functions

1. Hash function is arithmetization-oriented
 - ⇒ it has a compact multivariate polynomial description
 - ⇒ Gröbner basis algorithms are relevant
2. Poseidon
 - Designers assume worst case d_{reg}
 - *this hypothesis has been falsified*
3. Rescue
 - Designers extrapolate d_{reg} from small instances
 - *extrapolation hypothesis very questionable*

Gröbner Basis Algorithms and Arithmetization-Oriented Hash Functions

1. Hash function is arithmetization-oriented
 - ⇒ it has a compact multivariate polynomial description
 - ⇒ Gröbner basis algorithms are relevant
2. Poseidon
 - Designers assume worst case d_{reg}
 - *this hypothesis has been falsified*
3. Rescue
 - Designers extrapolate d_{reg} from small instances
 - *extrapolation hypothesis very questionable*
4. Reinforced Concrete
 - **lookup gates seem to defy GB attacks**

Design Criteria

Reinforced Concrete:

We Need:

Design Criteria

Reinforced Concrete:

$$- p \in \{p_{\text{BLS381}}, p_{\text{BN254}}, p_{\text{ST}}\}$$

We Need:

$$- p = 2^{64} - 2^{32} + 1$$

Design Criteria

Reinforced Concrete:

- $p \in \{p_{\text{BLS381}}, p_{\text{BN254}}, p_{\text{ST}}\}$

- non-uniform rounds:

$$(C \circ B)^n \circ C \circ \text{Bars} \circ C \circ (B \circ C)^n$$

We Need:

- $p = 2^{64} - 2^{32} + 1$

- uniform rounds: R^n

Design Criteria

Reinforced Concrete:

- $p \in \{p_{\text{BLS381}}, p_{\text{BN254}}, p_{\text{ST}}\}$
- non-uniform rounds:
 $(C \circ B)^n \circ C \circ \text{Bars} \circ C \circ (B \circ C)^n$
- many rounds + narrow state

We Need:

- $p = 2^{64} - 2^{32} + 1$
- uniform rounds: R^n
- few rounds + wide state

Design Criteria

Reinforced Concrete:

- $p \in \{p_{\text{BLS381}}, p_{\text{BN254}}, p_{\text{ST}}\}$
- non-uniform rounds:
 $(C \circ B)^n \circ C \circ \text{Bars} \circ C \circ (B \circ C)^n$
- many rounds + narrow state

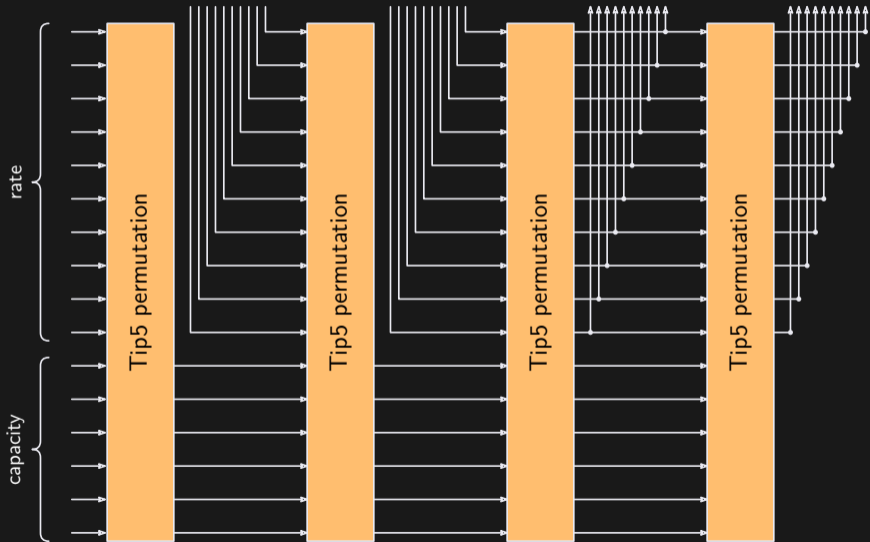
We Need:

- $p = 2^{64} - 2^{32} + 1$
- uniform rounds: R^n
- few rounds + wide state

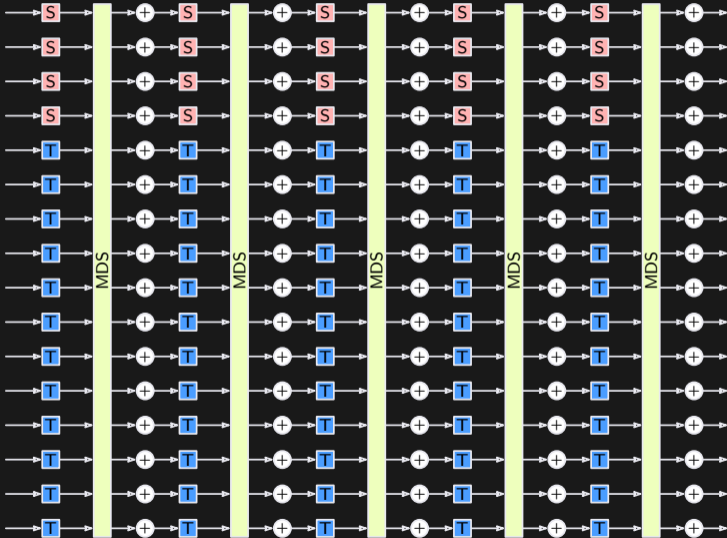


Tip5 design constraints

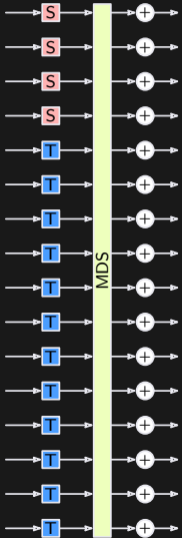
Tip5 Sponge Construction



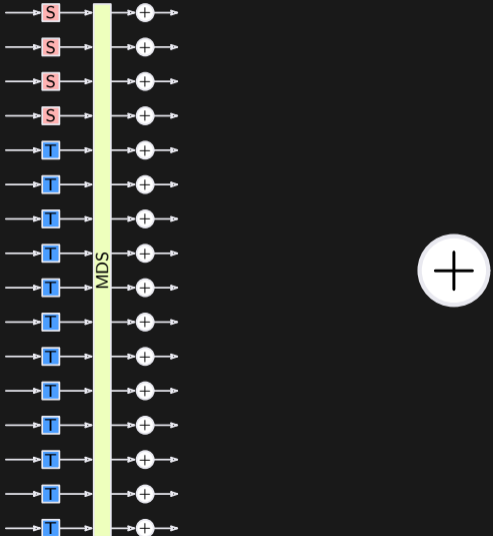
Tip5 – Permutation



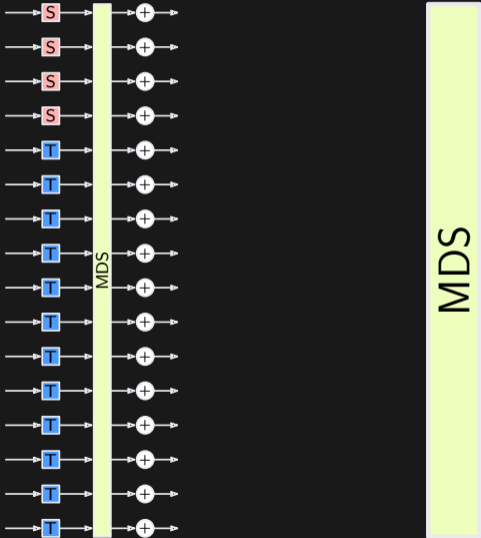
Tip5 – Permutation



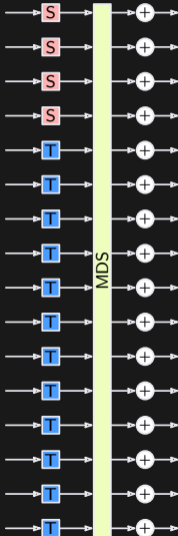
Tip5 – Permutation



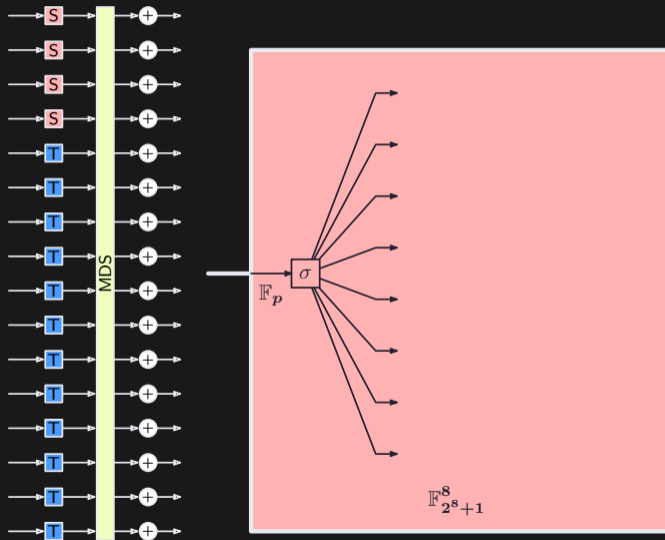
Tip5 – Permutation



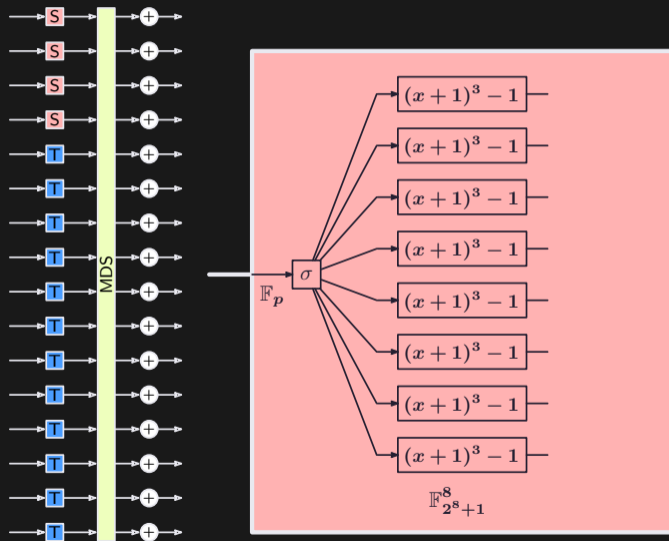
Tip5 – Permutation



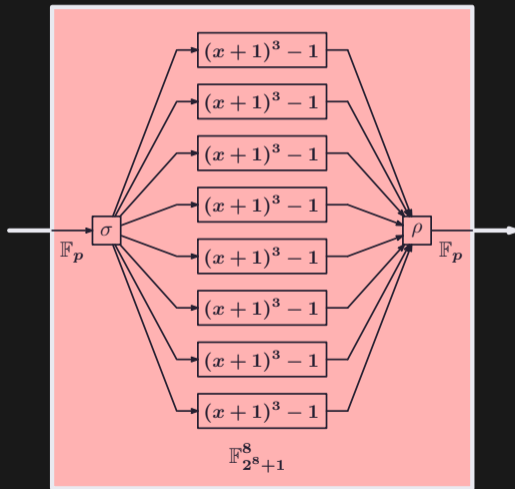
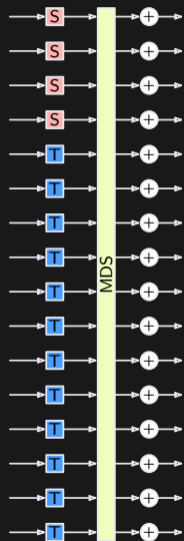
Tip5 – Permutation



Tip5 – Permutation

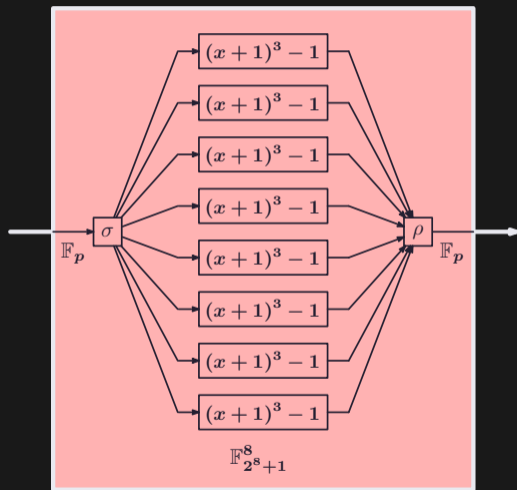


Tip5 – Permutation



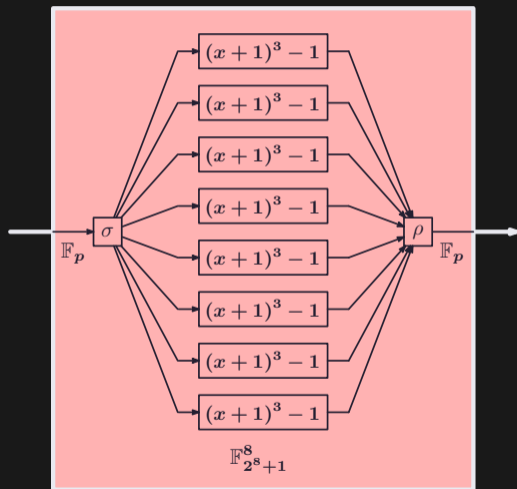
How is this a permutation?

How is this a permutation?



$L: \mathbb{F}_{257} \rightarrow \mathbb{F}_{257}, x \mapsto (x+1)^3 - 1$
– is a permutation on F_{257}
– has fixed points $\{0, 255, 256\}$
 \Rightarrow also a permutation on $\{0, \dots, 255\}$

How is this a permutation?



$L : \mathbb{F}_{257} \rightarrow \mathbb{F}_{257}, x \mapsto (x + 1)^3 - 1$
 – is a permutation on \mathbb{F}_{257}
 – has fixed points $\{0, 255, 256\}$
 \Rightarrow also a permutation on $\{0, \dots, 255\}$

Consider $S = \sigma \circ L^8 \circ \rho$ as a map on $\mathbb{N}_{<264}$
 – $x = p - 1 \Leftrightarrow x = \text{0xffffffff00000000}$
 maps to $\text{0xffffffff00000000} = p - 1$
 – $x \geq p \Leftrightarrow x = \text{0xffffffff*****}$
 maps to $\text{0xffffffff*****} \geq p$
 $\Rightarrow x < p$ maps to $S(x) < p$ \square

Circulant MDS Matrix (1)

$$\begin{pmatrix} a & b & c \\ c & a & b \\ b & c & a \end{pmatrix} \begin{pmatrix} i \\ j \\ k \end{pmatrix} \Leftrightarrow (a + bX + cX^2) \times (i + jX + kX^2) \pmod{X^3 - 1}$$

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

state vector first column

$$f \quad \times \quad g \quad \text{mod } X^{16} - 1$$

$$\left. \begin{array}{l} \rightarrow \\ \rightarrow \end{array} \right\} \begin{array}{l} f \times g \text{ mod } X^8 + 1 \\ f \times g \text{ mod } X^8 - 1 \end{array}$$

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

state vector first column

$$f \quad \times \quad g \quad \text{mod } X^{16} - 1$$

$$\left. \begin{array}{l} \rightarrow f \times g \text{ mod } X^8 + 1 \\ \rightarrow f \times g \text{ mod } X^8 - 1 \end{array} \right\} \rightarrow \text{Karatsuba}$$

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

state vector first column

$$f \quad \times \quad g \quad \text{mod } X^{16} - 1$$

$$\begin{array}{l} \left. \begin{array}{l} \rightarrow \\ \rightarrow \end{array} \right\} \begin{array}{l} f \times g \text{ mod } X^8 + 1 \\ f \times g \text{ mod } X^8 - 1 \end{array} \quad \rightarrow \quad \text{Karatsuba} \\ \left. \begin{array}{l} \rightarrow \\ \rightarrow \end{array} \right\} \begin{array}{l} f \times g \text{ mod } X^4 + 1 \\ f \times g \text{ mod } X^4 - 1 \end{array} \end{array}$$

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

state vector first column

$$f \quad \times \quad g \quad \text{mod } X^{16} - 1$$

$$\left\{ \begin{array}{l} \rightarrow f \times g \text{ mod } X^8 + 1 \\ \rightarrow f \times g \text{ mod } X^8 - 1 \end{array} \right. \longrightarrow \text{Karatsuba}$$

$$\left\{ \begin{array}{l} \rightarrow f \times g \text{ mod } X^4 + 1 \\ \rightarrow f \times g \text{ mod } X^4 - 1 \end{array} \right. \longrightarrow \text{Karatsuba}$$

Circulant MDS Matrix (2)

compute matrix-vector product *over the integers*

→ avoids modular reduction

state vector first column

$$f \quad \times \quad g \quad \text{mod } X^{16} - 1$$

$$\left\{ \begin{array}{l} \rightarrow f \times g \text{ mod } X^8 + 1 \\ \rightarrow f \times g \text{ mod } X^8 - 1 \end{array} \right. \longrightarrow \text{Karatsuba}$$

$$\left\{ \begin{array}{l} \rightarrow f \times g \text{ mod } X^4 + 1 \\ \rightarrow f \times g \text{ mod } X^4 - 1 \end{array} \right. \longrightarrow \text{Karatsuba}$$

$$\left\{ \begin{array}{l} \rightarrow \dots \\ \rightarrow \dots \end{array} \right.$$

LogUp

Client

input	output
1	<i>a</i>
2	<i>b</i>
3	<i>c</i>
1	<i>a</i>

Server

input	output
1	<i>a</i>
2	<i>b</i>
3	<i>c</i>
4	<i>d</i>

LogUp

Client

input	output	comboc
1	a	$1 + a\alpha$
2	b	$2 + b\alpha$
3	c	$3 + c\alpha$
1	a	$1 + a\alpha$

Server

input	output	combos
1	a	$1 + a\alpha$
2	b	$2 + b\alpha$
3	c	$3 + c\alpha$
4	d	$1 + a\alpha$

$$\text{combo} = \text{input} + \alpha \cdot \text{output}$$

LogUp

Client

input	output	comboc
1	a	$1 + a\alpha$
2	b	$2 + b\alpha$
3	c	$3 + c\alpha$
1	a	$1 + a\alpha$

Server

input	output	combos	mult.
1	a	$1 + a\alpha$	2
2	b	$2 + b\alpha$	1
3	c	$3 + c\alpha$	1
4	d	$1 + a\alpha$	0

$$\text{combo} = \text{input} + \alpha \cdot \text{output}$$

LogUp

Client

input	output	comboc	ldc
1	a	$1 + a\alpha$	—
2	b	$2 + b\alpha$	—
3	c	$3 + c\alpha$	—
1	a	$1 + a\alpha$	—

Server

input	output	combos	mult.
1	a	$1 + a\alpha$	2
2	b	$2 + b\alpha$	1
3	c	$3 + c\alpha$	1
4	d	$1 + a\alpha$	0

$$\text{combo} = \text{input} + \alpha \cdot \text{output}$$

$$\text{ldc}_i = \text{ldc}_{i-1} + \frac{1}{\beta - \text{comboc}_i} \quad \text{and} \quad \text{ldc}_0 = \frac{1}{\beta - \text{comboc}_0}$$

LogUp

Client

input	output	comboc	ldc
1	a	$1 + a\alpha$	—
2	b	$2 + b\alpha$	—
3	c	$3 + c\alpha$	—
1	a	$1 + a\alpha$	—

Server

input	output	combos	mult.	sum
1	a	$1 + a\alpha$	2	—
2	b	$2 + b\alpha$	1	—
3	c	$3 + c\alpha$	1	—
4	d	$1 + a\alpha$	0	—

$$\text{combo} = \text{input} + \alpha \cdot \text{output}$$

$$\text{ldc}_i = \text{ldc}_{i-1} + \frac{1}{\beta - \text{comboc}_i} \quad \text{and} \quad \text{ldc}_0 = \frac{1}{\beta - \text{comboc}_0}$$

$$\text{sum}_i = \text{sum}_{i-1} + \frac{\text{mult}_i}{\beta - \text{combos}_i} \quad \text{and} \quad \text{sum}_0 = \frac{\text{mult}_0}{\beta - \text{combos}_0}$$

LogUp

Client

input	output	comboc	ldc
1	a	$1 + a\alpha$	—
2	b	$2 + b\alpha$	—
3	c	$3 + c\alpha$	—
1	a	$1 + a\alpha$	—

Server

input	output	combos	mult.	sum
1	a	$1 + a\alpha$	2	—
2	b	$2 + b\alpha$	1	—
3	c	$3 + c\alpha$	1	—
4	d	$1 + a\alpha$	0	—

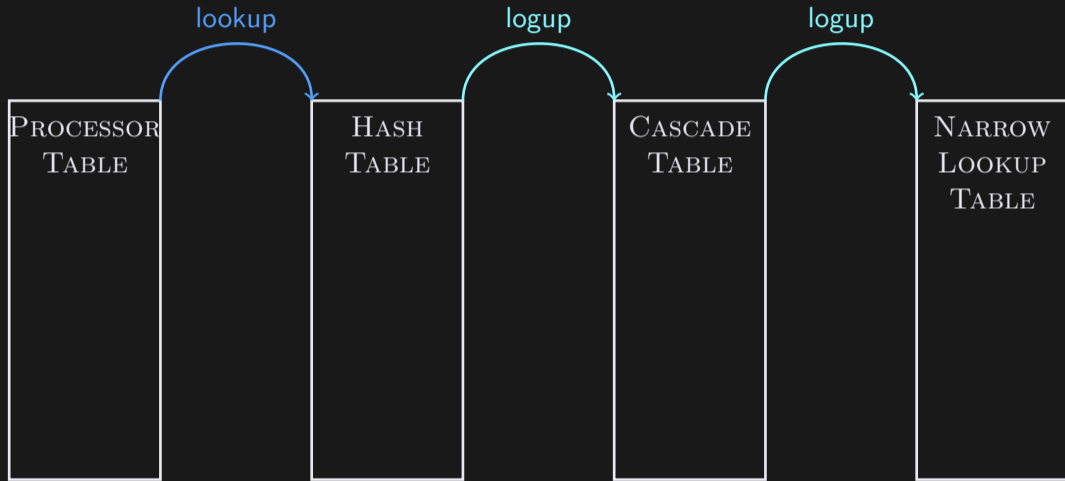
$$\text{combo} = \text{input} + \alpha \cdot \text{output}$$

$$\text{ldc}_i = \text{ldc}_{i-1} + \frac{1}{\beta - \text{comboc}_i} \quad \text{and} \quad \text{ldc}_0 = \frac{1}{\beta - \text{comboc}_0}$$

$$\text{sum}_i = \text{sum}_{i-1} + \frac{\text{mult}_i}{\beta - \text{combos}_i} \quad \text{and} \quad \text{sum}_0 = \frac{\text{mult}_0}{\beta - \text{combos}_0}$$

$$\sum_i \frac{1}{\beta - \text{comboc}_i} = \sum_i \frac{\text{mult}_i}{\beta - \text{combos}_i}$$

Cascade




Conclusion

Hash Function	Time [μ s]
Rescue-Prime	18.186
Rescue-Prime Optimized	14.357
Poseidon	6.825
Tip5	0.850

Conclusion

Hash Function	Time [μs]
Rescue-Prime	18.186
Rescue-Prime Optimized	14.357
Poseidon	6.825
Tip5	0.850

2.68 \times speedup in  Triton VM.

The Tip5 Hash Function for Recursive STARKs

Alan Szepieniec

艾伦·余丕涅茨

alan@neptune.cash

Neptune

Alexander Lemmens

Alexander.Lemmens@vub.be

DIMA, Vrije Universiteit Brussel

Jan Ferdinand Sauer

ferdinand@neptune.cash

Neptune

Bobbin Threadbare

bobbinth@protonmail.com

Polygon

Al-Kindi

al-kindi-0@protonmail.com

Polygon



Triton VM